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PTO/SB/21 (02-04)
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/813,424

Filing Date March 29, 2004

First Named Inventor Hoffend, Jr., Donald A.

Art Unit 3654

Examiner Name

Attorney Docket Number 85809,000032

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		E	NCL	OSURES (check all that apply)		
	Fee Transmittal			Drawing(s)		After Allowance communication to Group
	☐ Fee Attached			Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences
	Amendment/Reply			Petition		Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
	☐ After Final			Petition to Convert to a Provisional Application		Proprietary Information
	☐ Affidavit/decla	ration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter
	Extension of Time	Request		Terminal Disclaimer	Ø	Other Enclosure(s) (please identify below): IDS Coversheet; Acknowledgement Postcard, Certificate of Mailing, Information Disclosure Statement
	Express Abandonn	nent Request		Request for Refund		
⊠ .	Information Disclos	ure Statement		CD, Number of CD(s)		
	Certified Copy of P	riority Document(s)	Rer	narks		
		tion Missing Parts under				
	37 CFR 1.52 c	or 1.53				
		SIGNATUI	RE C	OF APPLICANT, ATTORNEY, OF	RAG	ENT
Firm Brian B. Shaw, Individual name			HAF	TER, SECREST & EMERY LLP		
Sign	nature	Rus	1			
Date June 25, 2004		_				

CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted with sufficient postage as first class mail in an envelope addressed to: 22313-1450 on the date shown below.	to the USPTO or deposited Commissioner for Patents,	with the United States Postal Service P.O. Box 1450, Alexandria, VA				
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Signature	Date	June 25, 2004				

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PTO/SB/92 (08-03)

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#### Enclosed are:

Information Disclosure Statement (2 pages)
Information Disclosure Statement Coversheet (2 pages) –Form PTO-SB08A
Transmittal Letter – Form PTO-1390
Return Postcard (1 card)
Certificate of Mailing

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hoffend, Jr., Donald A.

Atty. Docket:

85809.000032

Serial No.:

10/813,424

Examiner:

Filed:

March 29, 2004

Art Unit:

3652

Title:

SAFETY SENSOR FOR A LIFT ASSEMBLY

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby submits an Information Disclosure Statement as set forth on the enclosed form, PTO/SB/08a.

The present application is a division of 10/690,132 filed October 21, 2003, which is a division of 10/273,285 filed October 17, 2002, now US Patent 6,691,986 issued February 17, 2004, which is a division of 09/627,537 filed July 28, 2000, now US Patent 6,634,622 issued October 21, 2003.

Copies of the foreign publications are <u>not</u> included, as the earlier applications are relied on for an earlier effective filing date under 35 U.S.C. 120, and the Information Disclosure Statement filed with the earlier applications complies with 37 CFR 1.98 [37 C.F.R. § 1.98(d)].

As this Statement is filed before the mailing of the first Office Action, Applicant believes there are no fees due at this time.

Respectfully submitted,

Brian B Shaw, Registration No. 33,782
HARTER, SECREST & EMERY LLP

1600 Bausch & Lomb Place Rochester, New York 14604 Telephone: 585-232-6500

Fax: 585-232-2152

PTO/SB/08a (08-03)

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Complete if Known Singuitie for form 1449A/PTO 10/813,424 **Application Number** March 29, 2004 INFORMATION DISCLOSURE Filing Date Hoffend, Jr., Donald A. STATEMENT BY APPLICANT First Named Inventor 3654 Art Unit (use as many sheets as necessary) **Examiner Name** 85809.000032 2 Attorney Docket Number of Sheet

			J.S. PATENT DO	CUMENTS	
Examiner Cite		Document Number  Number-Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-977,499	12-06-1910	Bailey	
	2	US-977,500	12-06-1910	Bailey	
	3	US-1,263,628	04-23-1918	Vallen	
	4	US-1,340,066	05-11-1920	Lemle	
	5	US-1,473,336	11-06-1923	Connelly	
	6	US-1,821,563	09-01-1931	Mitchell et al.	
	7	US-2,357,462	09-05-1944	Ferguson	
	8	US-2,649,279	08-18-1953	Jones et al.	
	9	US-4,046,235	09-06-1977	Shutt	
	10	US-4,062,519	12-13-1977	Jacobs	
	11	US-4,156,521	05-29-1979	Harman	
	12	US-4,251,059	02-17-1981	Fougea	
	13	US-4,324,386	04-13-1982	Gagnon et al.	
	14	US-4,606,527	08-19-1986	Ziller et al.	
	15	US-4,662,628	05-05-1987	Chatenay pouse	
				Compagnone	
	16	US-5,031,574	07-16-1991	McDowell	
	17	US-5,141,085	08-25-1992	McCormick	
	18	US-5,361,565	11-08-1994	Bayer	
	19	US-5,711,713	01-27-1998	Krueger	

	FOREIGN PATENT DOCUMENTS										
Examiner Initials					Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	1	DD	255 522	A1	04-06-1988	VEB SACHSISCHER BRUKEN					
	2	DE	37 37 612	A1	06-01-1989	REXROTH MANNESMANN GMBH (DE)					
	3	DE	42 04 153		08-1993	LICENTIA GMBH (DE)					
	4	EP	0 540 136	A1	05-05-1993	ELEPHANT CHAIN BLOCK CO (JP)					
	5	EP	0 778 239	A1	06-11-1997	OELSCH FERNSTEUERGERAE TE (DE)					
	6	FR	2 689 415		10-08-1993	SCENAB AB					

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	Examiner	Date	
ŀ	Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds of Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for fo	orm 1449A/PTO		····	Complete if Known		
				Application Number	10/813,424	
INF	ORMATION I	DISC	LOSURE	Filing Date	March 29, 2004	
STA	TEMENT BY	Y API	PLICANT	First Named Inventor	Hoffend, Jr., Donald A.	
	(			Art Unit	3654	
•	(use as many shee	is as ne	cessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	85809.000032	

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Document Number  Number-Kind Code² (if known)		Publication Date ) MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	20	US-6,691,986	B1	02-17-2004	Hoffend, Jr.			
	21	US-6,634,622		10-21-2003	Hoffend, Jr.			
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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> · Number <sup>4</sup> · Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>					
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.